

DOT COMPLIANCE AUDIT

Following are some items from the three and one half page checklist that federal DOT uses when they audit your company for compliance with DOT regulations. Take a moment to review these items and evaluate your company's current performance.

Yes	No	N/A	Question
			Facility has copies of the federal DOT regulations (or equivalent state regulations) that apply, such as the Federal Motor Carrier Safety Regulations and Hazardous Materials Transportation Regulations?
			There is someone at a management level that is familiar with applicable federal/state DOT regulations.
			Employer has written policy regarding controlled substance use and alcohol abuse.
			Employees and supervisors are trained regarding controlled substance use and alcohol abuse (2 hour supervisor training on recognition of use/abuse symptoms; driver training/information on company program, employee responsibilities and prohibitions, etc.).
			Drug and alcohol testing program records are maintained as required by Section 382.40 1. (test results kept confidential and separate from other employment records, quarterly and annual program reports kept, etc.)
			Employer is completing random testing at the required percentages of 50% for drugs and 10% for alcohol.
			Employer can explain definition of a "recordable accident".
			Employer has established a system to ensure drivers' medical certificates remain current.
			Employer has established a system to ensure drivers' annual reviews and annual record of violations remain current.
			Employer complies with road test provisions of Section 391.31 or 391.33.
			Employer can produce completed driver qualification files on drivers selected at random.
			Employer has established a system to ensure drivers' operating licenses (CDLs) remain current.
			Employer checks drivers' backgrounds using other sources in addition to those required by Part 391.
			Employer can produce the prior 6 months' records of duty status/timecards on a driver selected at random.
			Employer can provide maintenance records required for vehicles controlled for 30 consecutive days or more (leased and owned vehicles)
			Employer can produce prior 3 months' vehicle inspection reports on a vehicle selected at random.
			Annual inspections are completed on all required vehicles.
			Drivers are trained to perform pre-trip inspections.
			Employer can explain placarding requirements.
			Employer provides hazardous materials training for its employees.